

ORDER ROUTING

DINOSAUR FINANCIAL GROUP LLC

SEC Rule 606

Disclosure of Order Routing Practices

Nasdaq Securities Quarter Ending 3/31/2020

Exchange	Market Order	Limit	Others	Total
Non-Directed Orders as Percentage (%) of Total Customer Orders	26.7%	73.3%	0.0%	100.0%
UBS Securities LLC	39.0%	77.8%	0.0%	61.1%
Virtu Americas LLC	61.0%	22.2%	0.0%	38.9%

NYSE Securities Quarter Ending 3/31/2020

Exchange	Market Order	Limit	Others	Total
Non-Directed Orders as Percentage (%) of Total Customer Orders	53.9%	46.1%	0.0%	100.0%
Virtu Americas LLC	100.0%	84.6%	0.0%	96.0%

American Stock Exchange (and Regional Exchanges) Quarter Ending 3/31/2020

Exchange	Market Order	Limit	Others	Total
Non-Directed Orders as Percentage (%) of Total Customer Orders	60.0%	40.0%	0.0%	100.0%
UBS Securities LLC	47.6%	23.1%	0.0%	38.2%
Virtu Americas LLC	52.4%	76.9%	0.0%	61.8%

Listed Options Quarter Ending 3/31/2020

Exchange	Market Order	Limit	Others	Total
Non-Directed Orders as Percentage (%) of Total Customer Orders	46.2%	53.8%	0.0%	100.0%
Automated Trading Desk Financial Services LLC, Options	20.0%	60.0%	0.0%	40.0%
Citadel Securities, Options	60.0%	20.0%	0.0%	40.0%
Wolverine Execution Services, Options	20.0%	20.0%	0.0%	20.0%

**Wedbush Securities has prepared this report in accordance with U.S. Securities and Exchange Commission Exchange Act Rule 606 that requires broker/dealers to make public, quarterly reports containing certain information on their order routing practices. The report provides information on the routing of "non-directed orders" which is generally defined as any order that the client has not specifically instructed to be routed to a particular venue for execution.*